

This document has been redacted for Public Interest Immunity claims made by Victoria Police.  
These claims are not yet resolved.

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VP Form 1091

## INFORMER REGISTRATION/REACTIVATION APPLICATION PART C – RISK ASSESSMENT

### TO BE COMPLETED BY HANDLER IN CONSULTATION WITH THE CONTROLLER

[Refer Section 23 Informer Management Policy](#)

DATE: UPDATED ON **20 / 04 /06** Informer Registration Number:

**21803838**

#### RISK TO SOURCE OF COMPROMISE

The Risks: What can happen and how.

PREVIOUS RISK ASSESSMENT DATED 15/11/05

Level of Risk

UPDATED RISKS & CONTROL MEASURES MARKED WITH ASTERISK & HIGHLIGHTED IN BOLD TYPE.

<p>The Source is a criminal Barrister, who is extremely well known within the legal fraternity. The Source is a member of the executive committee of the Victorian Criminal Bar Association, and was the Treasurer of this organization in 2003/04. The Source is also very well known within the Police and criminal community. The Source has been depicted in various visual media regarding a number of high profile criminal matters over the past few years. Because of this, and the Source's frequent attendance at the major Melbourne Courts, the Source's physical appearance is easily identifiable. Any meeting between the Source and Handlers presents the risk of compromise.</p>	High
<p>The Source is currently acting for several members of the MOKBEL criminal cartel, including Tony MOKBEL [REDACTED] MNI: 903555700. This group has been known to employ extreme violence in the pursuit of their enterprises, and intelligence holdings indicate that this group regards breaches of their criminal code of silence as a matter of extreme concern. This group is very well resourced, and has obvious access to very large amounts of money. The greatest risk to the Source would be that of compromise of the Source's role to anyone connected with this group.</p>	High
<p>Over the past 12 months, the Source has had conversations with several Police members, including members of Operation PURANA and the Major Drug Investigation Division, regarding the possibility of the Source assisting Police. Some of these members are now aware that the Source has in fact formalized her relationship with Victoria Police. The Handler believes that it is highly likely that unidentified close work associates of these members are also aware of this fact. Further, current members of the Australian Federal Police and Australian Crime Commission may also be aware that the Source was considering the possibility of covertly assisting Police. The threat of compromise through a casual conversation, or otherwise, by these, or the other unknown members, must be considered a high risk.</p>	High
<p>In September 1993, The Source was charged with possessing and using Amphetamines (for which she received a Bond without conviction). The Source states that she does not use or have any interest in illicit drugs. The Source's work and lifestyle bring the Source into constant contact with criminals involved in the drug trade, who are likely to use drugs themselves, as well as having ready access to any illicit substances. It is unknown whether the Source would use "recreational" drugs, therefore the risk of compromise to the Source through this activity cannot be assessed.</p>	Significant
<p>It is believed that the Source has had intimate relationships with some Police members, and it is not known if any of these are ongoing. The Source does admit to being friends</p>	High

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<p>with some Police members, and appears to enjoy the company of male Police members. The Source will regularly meet other Police, either through work related contact, or through social activities, and the Source lives an active social life, predominantly within inner city Melbourne. Risk of compromise exists if the Source does not maintain a high level of awareness in her relationships with other Police members.</p>	
<p>The Source states that she has a very small group of people in whom she has total trust. These include Solicitor Jim VALOS of VALOS BLACK &amp; Associates. The Source may confide in VALOS as to her role as a Source. VALOS is also an active criminal defense lawyer, [REDACTED]. If VALOS became aware of the Source acting as such, it is unknown whether he would pass this information on to unknown third parties.</p>	Significant
<p>The Source states that she is particularly close to her family. She also states that she keeps her family completely separate from her working life. It is unlikely that the Source would divulge her role to family members.</p>	Low
<p>The Source has stated a strong desire to be free of the clients who tend to consume a large proportion of the Source's time and resources, and thus create a great deal of stress to the Source. The Source states that her sole motivation for acting as a Source is to be rid of the clients in this category, specifically being those who belong to the MOKBEL criminal cartel. The Source feels she cannot initiate an end to these relationships in an open and direct manner with those concerned, due to tacit and subtle intimidation being applied to the Source. If the Source decides that Police are not acting on the intelligence provided appropriately, a feeling of frustration may cause the Source to take unknown radical action in order to rid herself of contact with these people.</p>	Significant
<p>As stated above, the Source states that she feels to be under a great amount of stress. If the Source is not able to withstand the psychological pressure of dealing with the targets, as well as acting as a Source, further emotional strain may result.</p>	Significant
<p>In 2004, the Source suffered a stroke, at age 31 years. The Source believes that this was largely caused by stress, emanating from an extremely hectic work schedule involving long hours, and prolonged contact with high level criminals. After this stroke, the Source did initially reduce her work load, however, it appears that she now has resumed an extremely busy schedule of work commitments.</p>	Significant
<p>The Source may possess other motivations and agendas not yet known to Handlers.</p>	High
<p>The source is an intelligent individual who appears to be acutely aware of the risk of physical harm if compromised. The Source is aware of the need for various security measures to be adhered to when dealing with Handlers. It appears unlikely that the source would ever declare herself as an informer in any circumstances.</p>	Moderate
<p>The source is entrenched in the Melbourne legal community, including having previously been the secretary of the Victorian Criminal Bar Association. Thus the Source has connections to numerous barristers and solicitors acting for various crime figures at all levels. Due to the very nature of this work, and the persons involved in its day to day business, interaction with these people presents a further avenue for potential compromise of the Source.</p>	Significant
<p>Information supplied by the Source may be passed on to other Law Enforcement Agencies, likely to be Federal agencies involved in significant drug investigations. This may be cause for further potential compromise of the Source.</p>	High
<p>The Source may come into possession of intelligence [REDACTED]</p>	Significant
<p><b>* The Source has contact with ex-member David WATERS, who has been investigated for corruption related matters, in that WATERS was a client of the</b></p>	High

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<p><b>Source.</b> In March, 2006, WATERS sought a meeting with the Source and advised her that her telephone was being intercepted. WATERS stated that this information came from a current VicPol member. WATERS has been known to embellish information in the past, although he is believed to have many current contacts within VicPol.</p> <p>* The Source has occasional social contact with ex-member Paul DALE, who has recently been implicated in previously unreported corrupt behaviour. DALE was a client of the Source for a short time, and his motivations for seeing the Source are unknown.</p> <p>* The Source has direct contact with the de-facto of Tony MOKBEL, being Danielle McGUIRE. [REDACTED] Without directly being targeted, the Source may come under surveillance because of contact with others. This has the potential to lead to compromise of the Source.</p> <p>* The Source has regular contact with Rabie KARAM. Current investigations indicate that KARAM [REDACTED] KARAM has never exhibited any violent tendencies towards or in the presence of the Source.</p> <p>* The Source has contact with current VicPol member Richard SHIELDS who is the subject of an E.S.D. investigation and is currently suspended pending employment termination by the C.C.P. Enquiries made by ESD Inspector FELTHAM indicate that E.S.D. personnel are aware that the Source is acting as such.</p> <p>* Because of contact with known criminals, and in particular Tony MOKBEL, the Source may be the subject of Telephone Intercepts by another agency. Intercepted calls between Source and Handlers would obviously result in compromise of the Source's role.</p> <p>* A large number of members not directly involved with the Handling of this Source are aware of the Source's current role. Compromise of the Source's role through naïve or obtuse disclosure may occur.</p> <p>* In her role as a barrister, the Source has been involved advising certain high level criminals making statements to assist Police. This may be perceived by those within the MOKBEL group as the Source acting against their interests, which could result in physical harm to the Source.</p>	<p>Significant</p> <p>Significant</p> <p>Moderate</p> <p>High</p> <p>High</p> <p>High</p> <p>High</p>
<p><b>Risk Determination:</b></p> <p>The overall risk of compromise to the source is considered HIGH.</p> <p><b>Control Measures:</b></p> <p>These risks will be minimized by the following:</p> <ul style="list-style-type: none"> <li>• Management of Source by [REDACTED] qualified full time Handler attached to the Dedicated Source Unit.</li> <li>• Imposition of [REDACTED] tradecraft for 'in field' management of source</li> <li>• Education of source in relation to personal security, minimizing the opportunity for others including source's family to become aware of his 'assistance' to police.</li> <li>• [REDACTED] deployment and tasking by DSU controller.</li> <li>• Ongoing monitoring of Source activities and contacts via established analytical methods.</li> </ul>	

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- Careful corroboration and sanitizing of all intelligence prior to dissemination and action.
- Regular reinforcement with the Source for the need for extreme security of contact with Handlers.
- Handlers to constantly reassess Source ██████████ at every contact.
- **\* Source & Handler supplied with ██████████ ██████████ for use ██████████ Handler/Source ██████████ only.**
- **\* Analysis of WATERS' phone is being undertaken with a view to identify contact with serving VicPol members.**
- **\* If dealing with individuals who may make statements against the MOKBEL group, Source to pass client to new legal representative.**
- **\* Reinforce with Source that all contacts with previous and current VicPol members is an opportunity for potential compromise of Source role.**

### RISK TO HANDLER(S) / CONTROLLER OF BEING JEOPARDISED

The Risks: What can happen and how.

Level of Risk

Risk to Handlers may exist in the form of physical violence if seen in company with the Source by criminals and a Handler/Source relationship is suspected.	High
Risk to Handlers may occur if sighted in the company of the Source by members of the Legal fraternity or other Police. Within some Police circles, the Source is commonly regarded as a legal practitioner who is of questionable integrity in her dealings with criminals. Any perceived association with the Source may bring suspicion or allegations of corruption, or worse, compromise of the Handler/Source relationship.	High
The Source has a large group of friends and associates, the majority of whom are well educated astute individuals involved in the legal fraternity. Risk to Handlers (and the Source) exist if the Source fails to maintain a strict cover regime at all times.	High
The source has no history involving violence, and has not been known to act in an inappropriate manner.	Low
The Source is a Barrister working exclusively in Criminal Law, and has represented many high profile criminals. If the Source is motivated to divulge Source handling methodologies, this could cause extreme danger of compromise to Handlers.	High
The Source is rumored to have previously had personal relationships with known criminals. If this was to occur, the Source may directly or inadvertently reveal her role. This would bring extreme risk to any member performing Handling duties of the Source.	High
The Source has represented many individuals who have made allegations against Police, and the Source has expressed a personal view that she has been involved in defending a number of matters involving corrupt Police members in recent times. It has not yet been established if these allegations are true. Nonetheless, it is likely that the Source harbors great suspicion of all Police. ██████████ and ██████████ Handling methodology requires that various ██████████ and ██████████ measures be put in place. Through misunderstanding, it is possible that the Source may wrongly assume that correct procedures are being done for other than legitimate reasons. It is unknown what course of action the Source would take if she suspected that Handlers were not acting appropriately.	Significant
The Source has previously had intimate relationships with some Police. Risk to Handlers exists if the Source were to attempt to form an other than professional association with Handlers.	High

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<p><b>* The Source occasionally attends at the Special Projects Unit, St Kilda Road complex, in order to listen to product relevant to clients. Inadvertent contact</b>  <span style="background-color: black; color: black;">[REDACTED]</span></p>	<b>Moderate</b>
<p><b>Risk Determination:</b></p> <p>The risk of a handler or controller being jeopardized is considered HIGH.</p> <p><b>Control Measures:</b></p> <ul style="list-style-type: none"> <li>• Management by a <span style="background-color: black; color: black;">[REDACTED]</span> trained full time handler from the Dedicated Source Unit</li> <li>• Evaluation by DSU personnel and implementation of DSU protocols.</li> <li>• Imposition of <span style="background-color: black; color: black;">[REDACTED]</span> 'in field' tradecraft</li> <li>• Implementation of DSU SOP's requiring <span style="background-color: black; color: black;">[REDACTED]</span> of all personal contacts.</li> <li>• Reinforcement of AOR and operational parameters by DSU controller.</li> <li>• <b>* Source to always advise Handler/s of attendance at Police premises.</b></li> </ul>	

### RISK TO THE INTEGRITY OF INFORMATION

The Risks: What can happen and how.	Level of Risk
<p>The Source made the initial approach to Police to supply information. The Source has stated a clear motivation for acting as a Source, however, if other unidentified motivations exist, the integrity of information may be jeopardized.</p>	Significant
<p>Within a short time, the Source has provided credible and valuable intelligence to police. The Source is well positioned to obtain tactically viable intelligence in relation to the criminal activities of the MOKBEL cartel. Intelligence supplied by the Source is considered accurate, however, on occasion the information may be obtained via third parties who may not be directly involved in the matters reported on. This may cause concern regarding the accuracy of information supplied.</p>	Moderate
<p>Source potential to provide intelligence on Major organized crime figures has not yet been developed to its full potential.</p>	Moderate
<p>The Source may not always be included within the inner circle of the criminals upon whom she may be able to provide intelligence. Information gaps may exist, which the Source may feel the need to fill by assumption or speculation. If this was not clarified with Handlers, inaccurate information is at risk of being received and disseminated.</p>	Moderate
<p>The source has a brief history of providing credible and valuable intelligence to police. The source is well positioned to obtain tactically viable intelligence in relation to the criminal activities of a number of persons. Intelligence supplied by the source is considered accurate, however, the source could unwittingly be fed misinformation, as a means of identifying any Sources assisting Police.</p>	Significant
<p>Current resources at Crime Department level do not allow for secure recording and dissemination of intelligence, and breaches of security of information have occurred in the past. Even allowing for the upgraded security levels implemented by M.D.I.D., the targets on which the Source can supply information are extremely well resourced and are believed to actively seek avenues to initiate corrupt activities to meet their needs.</p>	High
<p>The Source has expressed pity regarding the personal plight of certain criminals who are</p>	Significant

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<p>currently pending trial for major drug trafficking matters. It is not known if the Source would fail to report, or minimize the reported involvement, of any person for whom she felt some degree of sympathy.</p> <p><b>* In order to deliver information in a timely fashion, verbal updates are regularly passed on to Op PURANA. Accidental disclosure of Source information may occur upon inappropriate release of member notes/diaries.</b></p> <p><b>* Protocols were implemented at the IMU which included separate and secure directory storage of this Source's material, and minimizing IMU personnel knowledge of this Source. Without consultation with DSU, these protocols have now been relaxed.</b></p> <p><b>* Information provided by the Source is relevant to a current FedPol investigation. A previous investigation into the MOKBEL group revealed the likelihood of corruption within FedPol. Compromise may occur if information from the Source is not completely sanitized.</b></p>	<p style="text-align: center;"><b>Significant</b></p> <p style="text-align: center;"><b>Significant</b></p> <p style="text-align: center;"><b>High</b></p>
<p><b>Risk Determination:</b> The risk to the integrity of the information is considered HIGH.</p> <p><b>Control Measures:</b></p> <ul style="list-style-type: none"> <li>• Intelligence to be identified with appropriate Admiralty Scale classification.</li> <li>• Dissemination of intelligence will only occur after consultation between the Controller and Handler and in accordance with the [REDACTED] of the Dedicated Source Unit and any other relevant policies.</li> <li>• Sanitizing of intelligence in line with DSU protocols</li> <li>• Implementation of sterile corridor by Dedicated Source unit personnel</li> <li>• Secure storage of intelligence in line with DSU protocols and 'Highly Protected' classification</li> <li>• Tasking of source by DSU personnel after consideration of risk assessment specific to deployment.</li> <li>• Extensive, regular and ongoing debriefs of source will occur.</li> <li>• <b>* All verbal communications with Op PURANA conducted via OIC only.</b></li> <li>• <b>* Information passed on to FedPol to only occur through OIC Op PURANA, and only in consultation with Handler.</b></li> </ul>	

### RISK TO VICTORIA POLICE OF EXPOSURE

The Risks: What can happen and how.	Level of Risk
<p>It is possible that the Source enjoys acting as a police agent. Although this does not seem to be the Source's main motivation for assisting police, risk exists if the Source becomes over enthusiastic about this role.</p>	<p style="text-align: center;">Moderate</p>
<p>The Source has an extremely confident and strong personality. Whilst this may be a bonus in assisting the Source to maintain cover stories and the like, it may tend to make the Source less likely to detect signs of suspicion exhibited by persons with whom she has contact.</p>	<p style="text-align: center;">Moderate</p>

<p>The Source has been involved as a defense barrister in numerous County and Supreme Court trials involving well known criminal identities, and as a result is extremely well versed in police procedures. However, it is likely that the Source is not totally au fait with the intricate nature of some methodologies, for example, how [REDACTED] are [REDACTED] or, the role of the Handler [REDACTED], and the detail of some other matters. Deployment of this Source will increase her knowledge of covert police methodology. Having regard to the Source's career focus, this presents risk to Victoria Police.</p>	<p>High</p>
<p>The Source is highly likely to supply intelligence that will trigger tactical responses, and, because of her position with the likely targets, these actions and results will be clearly visible to the Source. This may provide further evidence of Police methodology to the Source.</p>	<p>High</p>
<p>The Source has suggested that her business premises be "bugged" or that the Source carry a recording device, in order to make recordings of conversations with potential targets and others. Lack of control over the Source's actions regarding this could lead to:</p> <ul style="list-style-type: none"> <li>• Compromise of source identity</li> <li>• Jeopardize investigations</li> <li>• Adversely affect Victoria Police</li> </ul>	<p>High</p>
<p>Because of the Source's occupation and particular position, if compromised, the handling of this Source would come under extreme scrutiny. This could cause embarrassment and criticism of the Force. This must be considered and balanced against the proposition of not utilizing the Source, and the potential resultant harm to the public that may occur through lack of intelligence against very large scale drug traffickers</p>	<p>Significant</p>
<p>There is no current intelligence to suggest the source is criminally active, although there has been speculation on this subject. The Source is aware that she has previously been captured on electronic surveillance, which the Source states was always due to communications regarding routine matters with legitimate clients. Further, because of her position within the community, the Source is unlikely to be criminally overtly active, at the very least through fear of denting her reputation as a lawyer.</p>	<p>Moderate</p>
<p><b>Risk Determination:</b>                  The overall risk to Victoria Police of exposure is considered HIGH.</p> <p><b>Control Measures:</b></p> <ul style="list-style-type: none"> <li>• Imposition of [REDACTED] tradecraft ensuring minimal exposure of police methodology.</li> <li>• Source will be instructed in regards to the parameters of her relationship with Victoria Police in line with Acknowledgement of Responsibilities and DSU protocols.</li> <li>• [REDACTED] deployment by DSU handler.</li> <li>• Source will not be deployed in a manner which will breach legislation [REDACTED] PII [REDACTED]</li> <li>• Rewards other than direct cash payments be considered.</li> </ul>	

**RISK OF PUBLIC HARM**

The Risks: What can happen and how.

Level of Risk





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_____ Jones-DSS	
Completed by (Controller): _____	Date: _____