

This document has been redacted for Public Interest Immunity claims made by Victoria Police. These claims are not yet resolved.

From: Gleeson, Steve
Sent: Mon, 30 Apr 2012 18:07:12 +1000
To: Pope, Jeff;McRae, Findlay
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Subject: Confidential - Update concerning HS Review
Attachments: ~WRD1840.doc

In confidence

Human Source Review – Update of 1/5/12

Jeff / Fin

My apologies for bailing out of the scheduled catch-up for tomorrow. I was looking forward to it but it clashed with the follow up visit with the knee surgeon. I could not bump him off to another time.

In terms of the review tasking, I provide the following update to outline my works to date, preliminary thoughts and proposed actions moving forward.

I have now completed the review of the entire interpose file and this was a cumbersome and difficult exercise to say the least. It would be fair to say that the Interpose file is in a bit of a mess. It does not run chronologically and this makes for a very disjointed, protracted and confused read. The way it has been put together you simply cannot pick out a date and go to that entry; in reality, what is required is a laborious grind through clumps of reports that are often well out of sequence. Late submission of various correspondence is routinely apparent and this was most probably due to the extreme levels of productiveness of this particular HS serving to exhaust source management capacities.

Despite a number of audits of the file having occurred I am still able to identify certain correspondence that remains missing from the file and in one case, a duplicate entry number exists relating to different dates entirely. In some instances, contact reports seem to have been completed and signed-off prior to the actual date of the contact having occurred? I would have thought that systems would generate and lock in such dates? Some reports seem to have gone missing and been unaccounted for until picked up by audit much later (in one case for more than three years).

It seems that an Acknowledgement of Responsibility was not done and although there may be good reason for this, I have not been able to sight any explanations. I would have thought that such a document would be the best means to spell out to the source the legal parameters of engagement, the intended source activities and usage.

It would seem that the usual policy controls and requirements for source management could not be maintained for 3838. It appears that we fell way behind. I also need to get my head around the Interpose process issues of having contact reports which then seemingly drive separate management logs and information reports. Once I secure a better understanding of interpose I will be able to determine if the apparent delayed submission of contact reports in-turn introduced delays to the residual reports. If so then this would seem to devalue and compromise the entire process. Whilst reference to "verbal updates" are common place throughout this file, if these are not backed up with other processes, then audit and accountability issues may arise and present risk. At first reflection, audit and accountability mechanisms for this entire matter seem to have been inadequate.

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I have compiled a number of documents to date to assist in understanding the file and to make record of some of the issues and management practices warranting further thought. Ultimately, these may also assist whoever is required to restructure the file into an appropriate format, should it be required for other forums in the future (if this is intended).

- The first is a 14 page summation of the contact report process - This table particularises the disordered way the file is structured and also records the apparent submission (and controller endorsement) dates for the various contact reports on file. This is quite enlightening and I will need to secure a greater level of system understanding for this to make full sense.
- The second is a substantially larger document that extracts what I have identified to be key issues out of the entire file (being contact reports / risk assessments / management logs and information reports). This again is in table format and is compiled as the file presents on Interpose. Issues of concern that are now subject to further exploration include:

Risk Assessments

- The initial risk assessment processes didn't seem to pick up on any issues surrounding the use of a source that may owe a professional duty to clients / the administration of justice / what any boundaries may be, where these may lie and how to monitor and ensure these were not crossed. This is particularly significant in this matter given multiple handlers were engaging with the source and the scope for varied subjective assessment concerning what was appropriate.
- Certain examples on file, at first consideration, suggest that the source was inappropriately utilised.
- Whilst the Risk Assessment process seems to be touched on briefly in monthly reporting, this seems a bit light on when considering the often significant developments with this source who was:
 - Afflicted by numerous serious health issues
 - Afflicted by (claimed) depression, PTSD and mental health issues
 - Heavily dependent on pain-relief medications.
 - Displaying manic behaviour. HS expressed desire to be the best source ever and was prepared to try harder if she was not number one. HS was routinely

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contacting handlers 6 or more times per day, 7 days per week.

- Suspected of being an informer by many dangerous criminals
- Suspected by many police of being an informer.
- Regularly receiving death threats.
- Continually intimating that her professional career was being compromised by the ongoing engagement with Vicpol and that compensation may well be in order.

This ever-escalating level of risk did not seem to drive further DSU formal risk assessment processes and instead such matters were included in a few paragraphs in a monthly reporting processes.

- Whilst the HS had a strong desire to assist Vicpol, this was equally matched by our desire to extract information from her. Some approaches by handlers, given the particular circumstances, seemed inappropriate.

Activity in the Week Ahead

On Wednesday this week I am meeting with [REDACTED] from WITSEC to learn more about their involvement with this matter once the transition from source to witness was decided upon, and allied WISEC management and process issues.

On Thursday this week I am to meet with Tony Biggin to canvas some of the source management and transition (HS to witness) issues apparent in the file.

I am securing advice from VGSO as required.

In keeping with previous instructions I have not, and will not, approach anyone from Petra / Briars.

Mr Hotham has provided policy documents (then and now) and these are to be further considered also.

Information Still Required:**Steering Group Deliberations**

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In or around the months of November / December 2008 it was determined that the HS would transition to become a witness. Within the Interpose file there is mention of direction being provided by a Petra Steering Group consisting of then D/C Overland, A/C Cornelius, Commander Moloney and then Deputy OPI Director Graeme Ashton. Given that this transition issue relates directly to term of reference one of this review, it will be necessary to access the CRB (or other) file for this steering group which determined transition should occur. Could you please advise how this particular file may be obtained / accessed?

Interpose functionality Information

I need to speak to someone who is well across all Interpose functionality matters relating to human source management. I was provided with the name of Sgt Peter Newfield but will await your advice as to the most appropriate point of contact before taking this further.

If you have any queries or concerns in regard to these matters then please do not hesitate to contact me.

Regards,

Steve Gleeson

30 / 4 / 2012