



**Royal Commission  
into the Management of Police Informants**

No. NP-80

**Inquiries Act 2014****Section 17****FORM 1****NOTICE TO ATTEND AND NOTICE TO PRODUCE DOCUMENTS TO A ROYAL  
COMMISSION**

Regulation 11

TO: **NICOLA MAREE GOBBO**

AT: c/- Minter Ellison Lawyers [REDACTED]

A Royal Commission is being held into the Management of Police Informants.<sup>1</sup>

**What you must do**

You must—

- ☐ produce the document/s or other thing/s specified below; or
- ☐ attend the Royal Commission and produce the document/s or other thing/s specified below; or
- ☐ attend the Royal Commission to give evidence until excused; or
- ☒ attend the Royal Commission to give evidence until excused and produce the document/s or other thing/s specified below.

**Where you must attend**

You must attend and produce documents to the Royal Commission at:

**Fair Work Commission  
11 Exhibition Street  
Melbourne, Victoria**

On: **19 March 2019**At: **10:00 am**

Note:

You should bring this notice with you when attending the Royal Commission.

**Objecting to this notice**

You may object to this notice if you have (or will have) a reasonable excuse for failing to comply with the notice. For example, it is a reasonable excuse to fail to comply with the notice if you are prohibited from disclosing the document/s or other thing/s by a court order. See section 18 of the ***Inquiries Act 2014 (the Act)*** to this notice for further examples of what constitutes a reasonable excuse.

<sup>1</sup> The terms of reference of the Royal Commission, contained in the Letters Patent dated 13 December 2018, as amended by the Letters Patent dated 7 February, are set out as Annexure A to this Notice.

You may also object to the notice by claiming that the document/s or thing/s specified in the notice are not relevant to the subject matter of the inquiry.

If you wish to object to this notice, you must do so in writing to Howard Rapke, Holding Redlich Lawyers, Level 8, 555 Bourke Street, Melbourne, Victoria, 3000 or by email to: [howard.rapke@holdingredlich.com](mailto:howard.rapke@holdingredlich.com) by **13 March 2019**. Your written objection must outline your reasons for objecting. If the Royal Commission is satisfied that your claim is made out, the Royal Commission may vary or revoke this notice.

**Failure to comply with this notice without a reasonable excuse may constitute a criminal offence. The maximum penalty for this offence is 240 penalty units or imprisonment for two years. See section 46 of the Act.**

**Failure to comply with this notice without a reasonable excuse may also result in the Royal Commission making an application to the Supreme Court of Victoria. The Court may then order you to comply with the notice within a specified period. See section 23 of the Act.**

Name: The Honourable Margaret McMurdo AC  
Title: Commissioner, Royal Commission into the Management of Police Informants  
Date: 8 March 2019

## SCHEDULE

- 1 From 1 January 1995, all documents and any correspondence (including audio recordings) between you and Victoria Police or you and any personnel of Victoria Police relating to, but not limited to:
  - a. You as a human source (registered or otherwise) giving information and/or advice to Victoria Police;
  - b. Your role as a human source for Victoria Police generally;
  - c. The establishment and formation of the Source Development Unit (SDU); and
  - d. The decision by Victoria Police to use you as a prosecution witness.
  
- 2 From 1 January 1995, communications between you and any third parties in relation to your role as a human source, including but not limited to other known or unknown human sources (registered or otherwise).
  
- 3 From 1 January 1995, all file notes made by you in respect of, and all communications between you and those persons who were the subject of information provided by you to Victoria Police or any personnel of Victoria Police, including but not limited to:
  - a. [REDACTED]
  - b. [REDACTED]
  - c. [REDACTED]
  - d. Milad Mokbel;
  - e. Antonios (Tony) Mokbel;
  - f. Rabie Karam;
  - g. [REDACTED]
  - h. Zlate Cvetanovski;
  - i. [REDACTED]
  - j. Pasquale (Pat) Barbaro;
  - k. Shane Bugega;
  - l. Jacques El-Hage;
  - m. Anthony Fezoralli;
  - n. Stephen Gavanas;
  - o. [REDACTED]
  - p. John Higgs;
  - q. David Ilic;
  - r. Joe Mannella;
  - s. Faruk Orman;
  - t. [REDACTED]
  - u. Alan Woodhead;

- v. Albert El-Moustafa;
- w. Oliver Jackson;
- x. Peter Pilarinos;
- y. Dragan Stemonkovic;
- z. Mick Gatto;
- aa. Saverio (Sam) Zirilli;
- bb. [REDACTED]
- cc. [REDACTED]
- dd. Dimitrios Kondalis; and
- ee. Salvatore (Sam) Rossitto; and
- ff. Salvatore Agresta;
- gg. Gratian Bran;
- hh. [REDACTED]
- ii. Antonino Di Pietro;
- jj. Carmelo Falanga;
- kk. [REDACTED]
- ll. Francesco Madaferri;
- mm. Fadi Maroun;
- nn. Frank Molluso;
- oo. Danny Moussa.
- pp. Paul Psaila;
- qq. Sharon Ropa;
- rr. Alan Saric;
- ss. Severino Scarponi;
- tt. Pasquale Sergi; and
- uu. Jan Visser.

- 4 All documents in, or relating to, the Supreme Court proceedings you commenced against Victoria Police in or around 2010, including, but not limited to:
  - a. Letters of demand;
  - b. Court documents;
  - c. Correspondence between parties; and
  - d. Correspondence between you or your representatives and Victoria Police or any personnel of Victoria Police.
- 5 All documents, in or relating to, the proceedings:

- a. SCI 2016 03143, AB & EF v CD [2017] VSC 350; and
- b. SCI 2016 04688, EF v CD [2017] VSC 351

including, but not limited to:

- i. Court documents;
  - ii. Correspondence between the parties;
  - iii. Correspondence between you or your representative and Victoria Police or any personnel of Victoria Police; and
  - iv. Correspondence between you or your representative and the Office of Public Prosecutions.
- 6 All documents and correspondence relating to any inquiry, investigation or other review, including but not limited to an Office of Police Integrity inquiry in or around late 2007, regarding your role as a human source (registered or otherwise) or as a prosecution witness.
  - 7 All documents and correspondence relating to criminal investigations, charges or proceedings against you, (whether or not proceeded with), including any plea bargain reached with Victoria Police and/or prosecuting authorities.
  - 8 All documents concerning your application for admission to practice as a Barrister and Solicitor of the Supreme Court of Victoria in or around 1996 and your signing of the bar roll in or around 1998.



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**The Honourable Margaret McMurdo AC**  
**Commissioner**

## NOTES

### 1. *Rights and obligations arising from this notice*

Annexure B to this notice sets out the rights and obligations of a recipient of a notice issued under section 17 of the *Inquiries Act 2014* (Vic).

### 2. *Meaning of 'document'*

In this notice, 'document' includes, in addition to a document in writing:

- (a) any book, map, graph or drawing;
- (b) any photograph;
- (c) any label, marking or other writing which identifies or describes anything of which it forms part, or to which it is attached by any means whatsoever;
- (d) any disc, tape, sound track or other device in which sounds or other data (not being visual images) are embodied so as to be capable (with or without the aid of some other equipment) of being reproduced therefrom;
- (e) any film (including a microfilm), negative, tape or other device in which one or more visual images are embodied so as to be capable (with or without the aid of some other equipment) of being reproduced therefrom; and
- (f) anything whatsoever on which is marked any words, figures, letter or symbols which are capable of carrying a definite meaning to persons conversant with them.

### 3. *Production by email or electronically*

To comply with this notice, you may produce the documents described in the Schedule to the address stated in the notice by emailing an electronic copy of the documents to [howard.rapke@holdingredlich.com](mailto:howard.rapke@holdingredlich.com). Please specify the reference number of the notice in the subject line of your email.

Where production by email is not practicable (such as for production of large quantities of documents), you may produce such documents by way of a USB or other form of electronic storage device delivered to the address set out in the notice.

Production must be in accordance with the Royal Commission into Management of Police Informants Document Management Protocol.

### 4. *Production of things*

You may comply with this notice by delivering or posting things sought by the notice which are unable to be produced in electronic form to the address specified in the notice.

### 5. *Practice Directions*

You should consult all relevant Practice Directions published by the Royal Commission on its website prior to production.

## ANNEXURE A

### TERMS OF REFERENCE

The Letters Patent dated 13 December 2018, as amended by the Letters Patent dated 7 February 2019, requires and authorises the Royal Commission to inquire into and report on the following matters:

1. The number of, and extent to which, cases may have been affected by the conduct of EF as a human source.
2. The conduct of current and former members of Victoria Police in their disclosures about and recruitment, handling and management of EF as a human source.
3. The current adequacy and effectiveness of Victoria Police's processes for the recruitment, handling and management of human sources who are subject to legal obligations of confidentiality or privilege, including:
  - a. whether Victoria Police's practices continue to comply with the recommendations of the Kellam report; and
  - b. whether the current practices of Victoria Police in relation to such sources are otherwise appropriate.
4. The current use of human source information in the criminal justice system from human sources who are subject to legal obligations of confidentiality or privilege, subject to section 123 of the *Inquiries Act 2014*, including:
  - a. the appropriateness of Victoria Police's practices around the disclosure or non-disclosure of the use of such human sources to prosecuting authorities; and
  - b. whether there are adequate safeguards in the way in which Victoria Police prosecutes summary cases, and the Office of Public Prosecutions prosecutes indictable matters on behalf of the Director of Public Prosecutions, when the investigation has involved human source material.
5. Recommended measures that may be taken to address:
  - a. the use of any other human sources who are, or have been, subject to legal obligations of confidentiality or privilege and who come to your attention during the course of your inquiry; and
  - b. any systemic or other failures in Victoria Police's processes for its disclosures about and recruitment, handling and management of human sources who are subject to legal obligations of confidentiality or privilege, and in the use of such human source information in the broader criminal justice system, including how those failures may be avoided in future.
6. Any other matters necessary to satisfactorily resolve the matters set out in paragraphs 1-5.

## ANNEXURE B

**STATEMENT OF RIGHTS AND OBLIGATIONS  
OF A PERSON SERVED WITH A NOTICE UNDER  
SECTION 17 OF THE *INQUIRIES ACT 2014* (VIC)**

***Failure to comply with a notice***

1. Pursuant to section 46 of the *Inquiries Act 2014* (Vic) (**the Act**), a person served with a notice under section 17 of the Act (**notice**) must not, without reasonable excuse, fail to comply with the notice.

**It is a criminal offence to refuse or fail to produce a document or other thing to the Royal Commission without reasonable excuse, the maximum penalty for this offence is 240 penalty units or 2 years imprisonment.**

***Person may make a claim that he/she has a reasonable excuse for failing to comply with a notice to produce***

2. Subsection 18(1)(a) of the Act provides that a person on whom a notice is served may make a claim to the Royal Commission that the person has or will have a reasonable excuse for failing to comply with the notice.
3. Without limiting what may be a reasonable excuse for the purposes of subsection 18(1)(a), subsection 18(2) of the Act provides that it is a reasonable excuse for a person to fail to comply with a notice by refusing to give information to the Royal Commission if the information:
  - a. in the case of a natural person, might tend to incriminate the person or make the person liable to a penalty; or
  - b. is the subject of parliamentary privilege; or
  - c. is the subject of public interest immunity; or
  - d. is prohibited from disclosure by a court order; or
  - e. is prohibited from disclosure by a provision of another enactment that specifically applies to the giving of information or the production of documents or other things to a Royal Commission; or
  - f. is prohibited from disclosure by a provision of another enactment that is prescribed by the regulations for the purposes of section 34 of the Act.

***Person may make a claim that a document or other thing specified in the notice is not relevant***

4. Subsection 18(1)(b) of the Act provides that a person on whom a notice is served may make a claim to the Royal Commission that a document or other thing specified in the notice is not relevant to the subject matter of the inquiry.

***Royal Commission may vary or revoke the notice***

5. Subsection 18(3) of the Act provides that if the Royal Commission is satisfied that the person's claim under subsection 18(1)(a) or (b) is made out, the Royal Commission may, by further written notice served on the person, vary or revoke the notice.



6. Subsection 18(4) of the Act provides the Royal Commission, by further written notice served on a person, may at any time on its own initiative vary or revoke a notice to produce or notice to attend served on the person.

***Legal professional privilege does not apply***

7. Pursuant to subsection 32(1) of the Act, it is not a reasonable excuse for a person to refuse or fail to produce a document or other thing to the Royal Commission on the grounds that the information, document or other thing is subject to legal professional privilege.
8. Pursuant to subsection 32(2) of the Act, information or a document or other thing does not cease to be the subject of legal professional privilege only because it is given or produced to a Royal Commission with a requirement to do so under the Act.

***Privilege against self-incrimination does not apply***

9. Pursuant to subsection 33(1) of the Act, it is not a reasonable excuse for a person to refuse or fail to comply with a requirement to give information or produce a document or other thing to the Royal Commission on the grounds that such production might tend to:
  - a. incriminate the person; or
  - b. make the person liable to a penalty.
10. Pursuant to subsection 33(2) of the Act, subsection 33(1) does not apply to the refusal or failure to produce a document or other thing if:
  - a. proceedings for an offence with which the person has been charged have not finally been disposed of; or
  - b. proceedings for the imposition or recovery of a penalty that have been commenced against the person have not finally been disposed of.

***Statutory secrecy and confidentiality***

11. Pursuant to subsection 34(1) of the Act, it is not a reasonable excuse for a person to refuse or fail to comply with a requirement to give information (including answering a question) or produce a document or other thing to the Royal Commission on the grounds that another enactment prohibits the person from giving the information or producing the document or other thing or imposes a duty of confidentiality on the person in relation to the information, document or other thing.
12. Pursuant to subsection 34(2) of the Act, a person is not subject to any criminal, civil, administrative or disciplinary proceedings or action only because the person complies with the requirement to give the information (including answering a question) or produce the document or other thing to the Royal Commission.
13. Pursuant to subsection 34(3) of the Act, subsections 34(1) and (2) do not apply to:
  - a. a provision of another enactment that specifically applies to the giving of information or the production of documents or other things to a Royal Commission; or
  - b. a provision of another enactment that is prescribed by the regulations for the purposes of this section.

***Publication of information relating to Royal Commission inquiries***

14. Pursuant to subsection 24(1) of the Act, the Commissioner may make an order excluding any person from a proceeding of the Royal Commission if:
  - a. prejudice or hardship might be caused to any person, including harm to their safety or reputation; or
  - b. the nature and subject matter of the proceeding is sensitive; or
  - c. there is a possibility of any prejudice to legal proceedings; or
  - d. the conduct of the proceeding would be more efficient and effective; or
  - e. the Commissioner otherwise considers the exclusion appropriate.
15. Pursuant to subsection 26(1) of the Act, subject to subsection 26(2), the Commissioner may make orders prohibiting or restricting the publication of:
  - a. any information that may enable the identity of a person who has given, or is to give, information or evidence to the Royal Commission for the purposes of an inquiry to be ascertained; or
  - b. any information or evidence given to the Royal Commission for the purposes of an inquiry.
16. Pursuant to subsection 26(2) of the Act, the Commissioner may make orders prohibiting or restricting the publication of information or evidence if:
  - a. prejudice or hardship might be caused to any person, including harm to their safety or reputation; or
  - b. the nature and subject matter of the information is sensitive; or
  - c. there is a possibility of any prejudice to legal proceedings; or
  - d. the conduct of the proceeding would be more efficient and effective; or
  - e. the Commissioner otherwise considers the prohibition or restriction appropriate.

**It is an indictable offence to knowingly or recklessly contravene an order made under section 24(1) or 26(1) of the Act, the maximum penalty for an offence is 600 penalty units or 5 years imprisonment.**

***Admissibility of answers, information, documents and other things***

17. Pursuant to subsection 40(1) of the Act, the production of a document or other thing to the Royal Commission and the fact that the document or other thing was produced is not admissible in evidence against the person in any other proceedings (being criminal, civil or administrative proceedings before a court, tribunal or person acting judicially or disciplinary proceedings), except in proceedings for:
  - a. an offence against the Act; or
  - b. an offence against sections 254 or 314 of the *Crimes Act 1958* (Vic) in relation to Royal Commissions.

***Production of false or misleading information***

18. Pursuant to subsection 50(1) of the Act, a person must not produce a document or other thing to a Royal Commission that the person knows to be false or misleading in a material particular unless the person indicates to the Royal Commission the respect in which it is false or misleading and, to the extent practicable, provides the correct information.

**It is an offence to produce a document or other thing to a Royal Commission that the person knows to be false or misleading in a material particular. The maximum penalty for this offence is 120 penalty units or 12 months imprisonment.**